



Express Mail No.: EL 477 036 210 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

International Application of: Robert E. Klem

Application No. 10/053,645

Group Art Unit: 1642

Filed: January 22, 2002

Examiner: to be Assigned

For: METHODS AND COMPOSITIONS FOR TREATING
A CELL-PROLIFERATIVE DISORDER USING CRE
DECOY OLIGOMERS, BCL-2 ANTISENSE
OLIGOMERS, AND HYBRID OLIGOMERS THEREOF

Attorney Docket No.: 10412-022-999

TRANSMITTAL

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Sir:

Applicant submits herewith for filing in connection with the above-identified application:
(a) an executed Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R.
§ 3.71 With Statement Under C.F.R. 3.73(b); and (b) an executed Declaration for Non-
provisional Patent Application.

Applicants respectfully request that the enclosed documents be made of record in the
present application file. Please direct all correspondence and telephone calls to Pennie &
Edmonds LLP at the address indicated below.

No fee is believed to be due in connection with this submission. However, should the
Patent and Trademark Office determine otherwise, please charge the required amount to Pennie
& Edmonds LLP's Deposit Account No. 16-1150.

Respectfully submitted,

by: *Jacqueline Penn*

Laura A. Coruzzi

Reg No 43,492
30,742

Laura A. Coruzzi

(Reg. No.)

Date May 17, 2002

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosure



25-29-02

06/07 MPK
Box 504

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Application of: Robert A. Klem

Application No.: 10/053,645

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For: METHODS AND COMPOSITIONS FOR
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USING CRE DECOY OLIGOMERS, BCL-2
ANTISENSE OLIGOMERS, AND HYBRID
OLIGOMERS THEREOF

Attorney Docket No.: 10412-022

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NON-PROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)**

Assistant Commissioner for Patents
United States Patent and Trademark Office
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts mailed March 25, 2002, in connection with the above-identified patent application, Applicant submits herewith: a) a Substitute Sequence Listing in paper and computer readable form pursuant to 37 C.F.R. §§ 1.821(c) and (e).

Applicant further submits copies of the following documents which were filed separately on May 17, 2002: Power of Attorney by Assignee and Exclusion of Inventor Under 37 C.F.R. 3.71 with a Statement Under C.F.R. 3.73; and a Declaration for Non-Provisional Application duly executed by Robert E. Klem.

Please replace the paper and computer readable copies of the Sequence Listing filed January 22, 2002, with the paper and computer readable copies of the Substitute Sequence Listing submitted herein.

I hereby state that the content of the paper and computer readable copies of the Substitute Sequence Listing, submitted in accordance with 37 C.F.R. §§ 1.821(c) and (e),

respectively, are the same. In accordance with 37 C.F.R. §1.821(g), I hereby state that the submission herein does not include new matter.

Please charge Pennie & Edmonds LLP's Deposit Account No. 16-1150 the necessary fee to satisfy the requirements of the Notice to File Missing Parts of Non-provisional Application.

Respectfully submitted,

by: *Jacqueline Ben*
Laura A. Coruzzi Reg No. 43,492
30,742
Laura A. Coruzzi (Reg. No.)

Date: May 28, 2002

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Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,645	01/22/2002	Robert E. Klem	10412-022

20583
PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

CONFIRMATION NO. 3607

FORMALITIES LETTER



OC000000007705003

Date Mailed: 03/25/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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